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Gliomas in children and adolescents: investigation of molecular 2 alterations with a potential prognostic and therapeutic impact 3

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9 Abstract

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10 **Purpose** Gliomas represent the most frequent central nervous system (CNS) tumors in children and adolescents. However, 11 therapeutic strategies for these patients, based on tumor molecular profile, are still limited compared to the wide range of 12 treatment options for the adult population. We investigated molecular alterations, with a potential prognostic marker and

13 therapeutic target in gliomas of childhood and adolescence using the next-generation sequencing (NGS) strategy.

14 **Methods** We selected 95 samples with initial diagnosis of glioma from patients treated at Pediatric Oncology Institute-GRA

15 ACC/UNIFESP. All samples were categorized according to the 2021 World Health Organization Classification of Tumors

16 of the CNS, which included 39 low-grade gliomas (LGGs) and 56 high-grade gliomas (HGGs). Four HGG samples were

17 classified as congenital glioblastoma (cGBM). NGS was performed to identify somatic genetic variants in tumor samples

18 using the Oncomine Childhood Cancer Research Assay[®] (OCCRA[®]) panel, from Thermo Fisher Scientific[®].

19 Results Genetic variants were identified in 76 of 95 (80%) tumors. In HGGs, the most common molecular alteration detected

20 was H3F3A c.83A > T variant (H3.3 K27M) and co-occurring mutations in ATRX, TP53, PDGFRA, MET, and MYC genes

21 were also frequently observed. One HGG sample was reclassified as supratentorial ependymoma ZFTA-fusion positive after

22 NGS was performed. In LGGs, four KIAA1549-BRAF fusion transcripts were detected and this alteration was the most recur-23

rent genetic event and favorable prognostic factor identified. Additionally, genetic variants in ALK and NTRK genes, which 24 provide potential targets for therapy with Food and Drug Administration-approved drugs, were identified in two different

25 cases of cGBM that were classified as infant-type hemispheric glioma, a newly recognized subgroup of pediatric HGG.

26 Conclusion Molecular profiling by the OCCRA[®] panel comprehensively addressed the most relevant genetic variants in

27 gliomas of childhood and adolescence, as these tumors have specific patterns of molecular alterations, outcomes, and effec-

28 tiveness to therapies.

29 Keywords Gliomas · Central nervous system tumor · Pediatric brain tumor · Congenital glioblastoma · Next-generation 30 sequency · Molecular profiling

31 Introduction

32 The fifth edition of the World Health Organization (WHO) 33 Classification of Tumors of the Central Nervous System 34 (CNS), published in 2021, introduces major changes involv-35 ing the classification of gliomas, as this tumor is separated 36 into pediatric-type and adult-type, given their well-estab-37 lished molecular and genetic differences. In this context,

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gliomas occurring in children can be mainly categorized as low-grade and high-grade gliomas (Louis et al. 2021; Wen and Packer 2021).

Low-grade gliomas (LGGs) are a diverse spectrum of grade 1 and 2 tumors that represent the most common CNS neoplasms in children, accounting for approximately 30-40% of all primary pediatric brain tumors (Hargrave 2009). Pilocytic astrocytoma (PA) is the most frequent histological subtype of circumscribed astrocytic glioma in children and adolescents, and these patients frequently display an overall survival (OS) rate that can exceed 90% in 10 years. However, local recurrence occurs in 10-20%

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High-grade gliomas (HGGs) are malignant, diffuse, and 57 infiltrating grade 3 and 4 tumors that represent 8-12% of 58 all childhood CNS neoplasms. Pediatric patients with 59 HGG show long-term OS rates lower than 10% and in 60 most cases, these tumors are incurable. Childhood HGGs 61 can be classified into subgroups based on their most 62 recurrent genetic and epigenetic alterations (Braunstein 63 et al. 2017: Fangusaro 2012). Somatic histone H3 altera-64 tions, such as K27M and G34R/V mutations, are specific 65 molecular markers for pediatric HGGs. Recent studies 66 have identified K27M mutation in H3F3A and HIST1H3B 67 68 genes, responsible for encoding the H3.3 and H3.1 histone variants, respectively. Pediatric HGGs with H3F3A 69 and HIST1H3B K27M alterations are midline tumors fre-70 71 quently observed in children that show a poor prognosis, while H3F3A G34R/V mutations occur in hemispheric 72 tumors, are commonly identified in adolescents and young 73 adults, and are associated with a slightly longer survival. 74 Accordingly, these tumors comprise two main subgroups 75 of pediatric HGG denoted as diffuse midline glioma H3 76 K27-altered and diffuse hemispheric glioma H3 G34-77 mutant (Ebrahimi et al. 2019; Sturm et al. 2014; Louis 78 et al. 2021). Genome-wide methylation profiling of child-79 80 hood HGG recently revealed six epigenetically distinct subgroups with alterations in H3F3A (H3.3 K27M and 81 H3.3 G34R/V), HIST1H3B (H3.1 K27M), IDH1/2 (IDH), 82 and BRAF (BRAF V600E) genes (Pollack, Agnihotri, and 83 Broniscer 2019). 84

Congenital brain tumors, defined by presentation prena-85 tally or within the first months of life, represent approxi-86 mately 2% of all pediatric CNS tumors, with congenital 87 glioblastoma (cGBM) being among the rarest type (Solitare 88 and Krigman 1964; Severino et al. 2010; Macy et al. 2012). 89 To date, the most frequently genetic alterations identified in 90 cGBM are ALK and ROS1 gene fusions. Thus, recent stud-91 92 ies have proposed that cGBM is genetically distinct from HGG that affects older children and adults, as these tumors 93 harbor a unique molecular profile (Gilani et al. 2020; Macy 94 95 et al. 2012). These findings suggest that cGBM may comprises a specific entity of infant-type hemispheric glioma, a 96 novel tumor type recognized by the 2021 WHO Classifica-97 tion of Tumors of the CNS, characterized by newborns and 98 infants that show fusions in ALK, ROS1, NTRK, or MET 99 genes (Louis et al. 2021). 100

Therapeutic strategies for pediatric neoplasms, basedon tumor molecular profile, are still limited compared to

the wide range of treatment options for the adult popu-103 lation (Ahmed et al. 2018; Lorentzian et al. 2018). The 104 Oncomine Childhood Cancer Research Assay[®] (OCCRA 105 [®]) is the first next-generation sequencing (NGS) panel 106 designed exclusively for the investigation of the main 107 genetic events of childhood and adolescence neoplasms 108 (Hiemenz et al. 2018). Considering the genomic and prog-109 nostic heterogeneity of pediatric and adolescent gliomas, 110 as well as the unavailability of agents that target the most 111 common molecular alterations present in these tumors, a 112 specific NGS genetic panel can provide information for 113 patients' stratification into risk groups and possible thera-114 peutic targets (Pollack et al. 2019; Terashima and Ogiwara 115 2021). 116

Therefore, the present study aimed to investigate molecular alterations, with a potential prognostic marker and therapeutic target in gliomas of childhood and adolescence using the NGS strategy. We identified somatic genetic variants in tumor samples for a comprehensive molecular profiling of LGGs and HGGs using the OCCRA[®] panel.

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Materials and methods

Tumor samples

All 95 fresh-frozen tumor samples used in this study 125 were obtained from patients treated at Pediatric Oncol-126 ogy Institute-Grupo de Apoio ao Adolescente e à Criança 127 com Câncer/Federal University of Sao Paulo (IOP-GRA 128 ACC/UNIFESP), between 2000 and 2020, and belong to 129 the Institute Biobank (National Commission of Ethics in 130 Research—CONEP B-053). All 95 cases with initial diag-131 nosis of glioma were identified via a retrospective data-132 base review. This study was approved by the Institutional 133 Research Committee (Committee for Ethics in Research-134 Federal University of Sao Paulo no. 0915/2019) and writ-135 ten informed consent was obtained from all patients/ 136 guardians. 137

All primary tumors were classified according to the 138 morphological characteristics of the tumor tissues ana-139 lyzed by immunohistochemistry and based on the 2021 140 WHO Classification of Tumors of the CNS (Louis et al. 141 2021). All internal cases were jointly reevaluated by two 142 or three pathologists of our Institution and inclusion crite-143 ria was histological diagnosis of WHO grade 1, 2, 3, and 144 4 glioma. Based on our molecular findings using NGS, 145 one HGG sample was reclassified as supratentorial epend-146 ymoma (ST-EPN) ZFTA-fusion positive, and excluded 147 from patients' clinical and survival analysis. 148

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DNA and RNA isolation and guantification 149

Total DNA and RNA were extracted from frozen tumor sam-150 ples using FastPrep[®]-24 Tissue Ruptor (MP Biomedicals[®]) 151 and AllPrep DNA/RNA Mini Kit (QIAGEN®) according to 152 the manufacturer's instructions. After extraction, samples 153 were quantified in Nanodrop 2000[®] and in Qubit 3[®] fluorom-154 eter using Qubit RNA HS Assay Kit® and Qubit dsDNA HS 155 Assay Kit[®] (Thermo Fisher Scientific[®]). The ratio between 156 the 260 nm and 280 nm absorbances was used as quality 157 control (OC) for the extractions. An A260/280 ratio between 158 1.6-1.8 and 1.8-2.0 was adopted for DNA and RNA, respec-159 tively. Only samples with the expected QC standards were 160 included in the study. After quantification, synthesis of 161 complementary DNA (cDNA) was performed using 20 ng 162 of total RNA according to the manufacturer's protocol of 163 SuperScript Vilo IV[®] (Thermo Fisher Scientific[®]). 164

Library preparation 165

Sequencing libraries were prepared from 20 ng of DNA 166 and 20 ng of cDNA from each tumor sample, following the 167 manufacture's protocol for the OCCRA® (Thermo Fisher 168 Scientific[®]). Amplicon libraries with barcodes specific to 169 each sample were generated from DNA and cDNA, and 170 automated clonal amplification was performed on the Ion 171 Chef[™] System (Thermo Fisher Scientific[®]). Only the beads 172 that were enriched with a unique template (monoclonal), that 173 yielded reads of 25 bp or longer after a 3'15 bp-wide sliding-174 window quality trimming approach with q17 threshold, and 175 which do not belong to the library internal controls, were 176 considered for further analyses. After the preparation was 177 completed, the libraries were loaded in the Ion 540[™] chip 178 (Thermo Fisher Scientific[®]) and inserted on the Ion S5^T 179 System Sequencer (Thermo Fisher Scientific[®]). 180

Next-generation sequencing run 181

Sequencing was performed using 200-bp reads, and at the 182 end of the sequencing run, the data generated by the large-183 scale sequencing was performed, and the quality for each 184 reading was evaluated using Torrent Suite[™] software version 185 5.2.1 (Thermo Fisher Scientific®). Only amplicon readings 186 that showed a ratio between the forward and reverse prim-187 $ers \ge 0.6$ and ≤ 1.4 were selected. The readings obtained were 188 aligned to the hg19/GHCh37 human reference genome on 189 the Torrent Suite[™] software and were considered readings 190 with a minimum coverage of $2000 \times$. The generated BAM 191 files were analyzed using Integrative Genomics Viewer soft-192 ware (IGV Software, San Diego, CA, USA). The Variant 193 Call Format files were obtained and the variants identified 194

were compared to the following databases: COSMIC, 195 dbSNP, and ExAC. 196

Variant analysis using the Oncomine Childhood **Cancer Research Assay® panel**

All tumor samples included in this study were subjected 199 to targeted sequencing using the OCCRA® panel that com-200 prises a total of 255 tumor-associated genes (Table S1 201 Supplementary Material). Sequencing analysis contains 202 information about somatic variants, including multi-nucle-203 otide variants (MNVs), single-nucleotide variants (SNVs), 204 insertions or deletions (InDels), copy number variations 205 (CNVs), and gene fusions. Variants were classified accord-206 ing to class (SNV or InDel), functional effect (missense, 207 nonsense, synonymous, frameshift, and nonframeshift), and 208 clinical significance (pathogenic, likely pathogenic, uncer-209 tain significance, likely benign, and benign) according to 210 FATHMM prediction scores (0–1), with score \geq 0.7 classi-211 fied as pathogenic. For CNVs, samples with MAPD ≤ 0.35 212 were considered in the study. Variant characteristics were 213 evaluated using COSMIC, ClinVar, VARSOME, USCS 214 Genome Browser, and Ensembl Genome Browser databases. 215

Data and statistical analysis

Data analysis was performed using IBM SPSS Statistics ver-217 sion 21.0 (Armonk, NY: IBM Corp), jamovi version 1.2 (The 218 Jamovi Project, Sidney, AU), and GraphPad Prism version 219 7.0 (GraphPad Software, San Diego, CA, USA) for Win-220 dows. OS curves were generated by applying Kaplan-Meier 221 method with a 95% confidence interval (95% CI), and then 222 compared by Log-rank test. Statistical significance was 223 taken as p < 0.05 (5%). 224

Results

Patient and tumor characteristics

Clinical features of the patients analyzed in this study are 227 summarized in Table 1 and molecular classification can be 228 found in Table 2. The sex ratio was 1:1 (male: 51%; female: 229 49%), and the median age at diagnosis was 5.8 years (range: 230 0.3-16.6 years) and 7.5 years (range: 0-18.7 years) for LGG 231 and HGG patients, respectively. 232

LGGs (grade 1/2) were comprised by 27 (29%) cases of 233 PA, one (1%) case of ganglioglioma, a glioneuronal tumor, 234 five (5%) cases of diffuse LGG MAPK pathway-wildtype, 235 and five (5%) cases of diffuse LGG MAPK pathway-altered. 236 One (1%) case included a pilomyxoid astrocytoma (PMA), 237 a variant of PA that exhibits a more aggressive behavior. 238

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	Total ($N =$	94)		
	Low-grade gliomas	9	High-gra gliomas	ade
	N=39		N=55	
Characteristic	No.	%	No.	%
Age at diagnosis, years				
Median	5.8		7.5	
Range	0.3–16.6		0-18.7	
Mean	6.8		8.5	
Standard deviation	4.4		5.7	
Follow-up time, months				
Median	51.2		19.0	
5-year overall survival				
Rate	90.7%		24.6%	
10-year overall survival				
Rate	87.2%		12.3%	
Sex				
Female	23	59	23	42
Male	16	41	32	58
Tumor location				
Hemispheric	4	10	24	43
Diencephalic/Thalamic	1	3	13	24
Optic/Suprasellar	3	8	1	2
Ventricular/Intraventricular	2	5	3	5
Posterior Fossa	29	74	7	13
Spinal	0	0	7	13
Relapse				
Yes	6	15	11	20
No	33	85	44	80
Actual status				
Complete remission	22	56	10	18
In treatment	12	31	6	11
Dead	5	13	39	71
Treatment		-		
Chemotherapy	6	15	11	20
Radiotherapy	2	5	8	15
Chemotherapy + Radiotherapy	5	13	27	49
None	26	67	9	16

239 HGGs (grade 3/4) consisted of two (2%) cases of pleomorphic xanthoastrocytoma (PXA), one (1%) case of malig-240 nant ganglioglioma, 18 cases of H3 K27-altered gliomas, 241 including 16 (17%) midline (diffuse midline glioma H3 K27-242 altered) and two (2%) hemispheric (diffuse glioma H3 K27-243 altered) tumors, one (1%) case of diffuse hemispheric glioma 244 H3G34-mutant, 31 (33%) cases of diffuse HGG H3-wildtype 245 and IDH-wildtype, and two (2%) cases of infant-type hemi-246 spheric glioma. One (1%) HGG sample was reclassified as 247 ST-EPN ZFTA-fusion positive. 248

 Table 2
 Molecular classification of tumor samples analyzed in the study, according to the 2021 WHO Classification of Tumors of the CNS

Molecular classification	No.	%
Low-grade gliomas		
Pilocytic astrocytoma	27	29
Pilomyxoid astrocytoma	1	1
Diffuse low-grade glioma, MAPK pathway-wildtype	5	5
Diffuse low-grade glioma, MAPK pathway-altered	5	5
High-grade gliomas		
Pleomorphic xanthoastrocytoma	2	2
Diffuse midline glioma, H3 K27-altered	16	17
Diffuse glioma, H3 K27-altered	2	2
Diffuse hemispheric glioma, H3 G34-mutant	1	1
Diffuse high-grade glioma, H3-wildtype and IDH- wildtype	31	33
Infant-type hemispheric glioma	2	2
Glioneuronal and neuronal tumors		
Ganglioglioma	2	2
Reclassification		
Supratentorial ependymoma, ZFTA-fusion positive	1	1
	95	100

A total of 20 cases were infantile gliomas and four cases 249 were classified as cGBM. Classification criteria for infant 250 HGG was diagnosis under 5 years old (Clarke et al. 2020) 251 and congenital cases consisted in those patients who pre-252 sented clinical symptoms at birth, within the first weeks of 253 life, or within the first 3 months of age. (Solitare and Krig-254 man 1964). Among the four patients with cGBM analyzed, 255 two patients had a postnatal diagnosis, with mean age at 256 diagnosis of 1.3 months, and two patients were diagnosed 257 during the prenatal. cGBM patients included four females 258 and all tumors affected the cerebral hemispheres of the 259 supratentorial compartment. Additionally, two cGBM cases 260 were classified as infant-type hemispheric glioma, a newly 261 recognized group of pediatric HGG (Louis et al. 2021). 262

Identification of genetic variants in glioma samples 263

A total of 95 samples with initial diagnosis of glioma were 264 sequenced to investigate the presence of somatic genetic 265 variants using the OCCRA[©] panel. Sequencing analyses 266 showed that variants were detected in 76 of 95 (80%) tumors 267 - 33 LGGs (43.4%) and 43 HGGs (56.6%). We observed 268 51 altered genes and 123 genetic variants, which included 269 60 SNVs (48.8%), 22 InDels (17.9%), 29 CNVs (23.6%), 270 and 12 gene fusions (9.7%). KIAA1549-BRAF fusions were 271 the most frequent events in LGGs (26/33 = 78.8%) and vari-272 ants in H3F3A (17/43=39.5%), TP53 (17/43=39.5%), and 273 ATRX (9/43 = 20.9%) genes were responsible for the major-274 ity of all alterations identified in HGGs. The distribution 275

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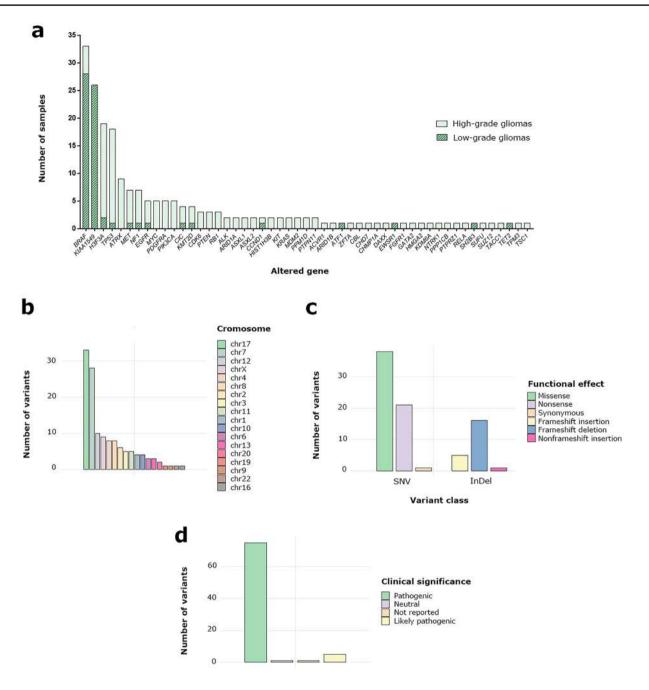


Fig. 1 Genetic alterations identified in tumor samples. **a** Frequency of mutations by gene in the 95 samples. **b** Number of variants located on each chromosome. **c** Number of variants according to class and functional effect. **d** Number of variants according to class and functional effect.

of altered genes in glioma samples is shown in Fig. 1a, and
profile of genetic variants identified in tumor samples can be
found in Table S2 (Supplementary Material).

279 Genetic variants analysis

Chromosome 17 had the highest number of variants (25%),
followed by chromosome 7 (21.2%), as *TP53*, *KIAA1549*,
and *BRAF* genes were the most frequently altered genes

detected in tumor samples and are located at 17p13.1 283 and 7q34, respectively (Fig. 1b). Variants with missense 284 and nonsense effect were the most common, accounting 285 for 46.3% and 25.6% of cases, respectively. InDels were 286 also frequently identified, as frameshift deletion variants 287 were responsible for 19.6% of cases, and frameshift inser-288 tions were observed in 6.1% of cases. Synonymous and 289 nonframeshift variants were found in 1.2% of cases each 290 (Fig. 1c). Of all variants analyzed, 91.5% were pathogenic, 291

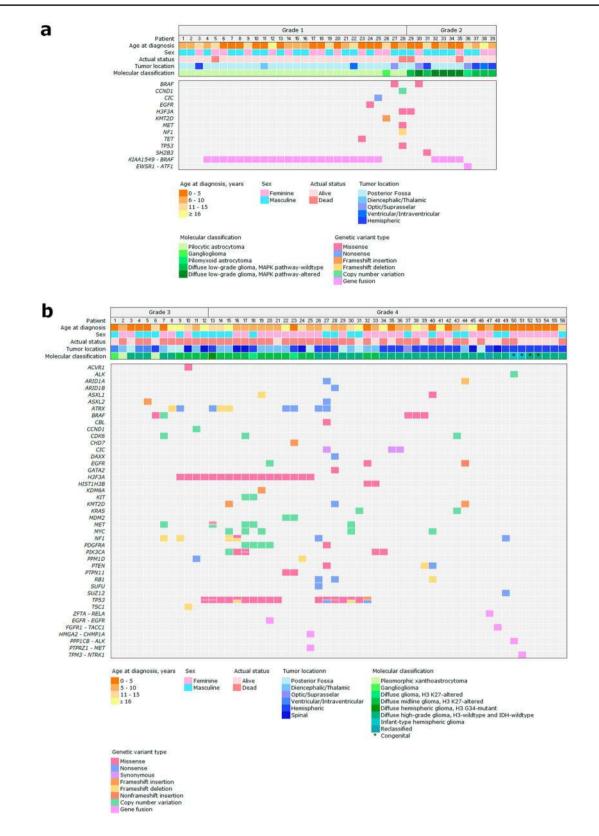


Fig. 2 Clinical and molecular profile of gliomas. **a** Genomic landscape of 39 LGG samples. **b** Genomic landscape of 56 HGG samples. Left pane indicates the altered gene across all the samples and each

vertical bar represents an individual case. The presence of more than one variant in the same gene is represented by multicolored boxes

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Table 3KIAA1549–BRAFfusion transcripts detected inLGG samples

Gene fusion	Gene 1			Gene 2			Total ($N = 26$)
	Name	Chr position	Exon	Name	Chr position	Exon	No.samples	%
KIAA1549-BRAF	KIAA1549	7:138552721	14	BRAF	7:140487384	9	8	30.8
	KIAA1549	7:138545885	15	BRAF	7:140487385	9	11	42.3
	KIAA1549	7:138545885	15	BRAF	7:140481493	11	6	23.1
	KIAA1549	7:138529062	17	BRAF	7:140482957	10	1	3.8

Chr cromosome

6.1% were likely pathogenic, 1.2% were neutral, and 1.2%have not been reported yet (Fig. 1d).

294 Comprehensive molecular profiling of gliomas

The genomic landscape of LGG and HGG patients ana-295 lyzed in the present study using the OCCRA® panel can 296 be observed in Fig. 2a and b, respectively. A total of 26 297 KIAA1549-BRAF fusions were detected in LGGs, and 298 among them, 22 (84.7%) cases were identified in PA sam-299 ples. Four KIAA1549-BRAF fusion transcripts were detected 300 301 and the most common was between exon 15 of KIAA1549 and exon 9 of BRAF (15;9), observed in 11 of 26 (42.3%) 302 cases. KIAA1549-BRAF fusions 14;9 and 15;11 were also 303 304 prevalent, observed in eight (30.8%) and six (23.1%) of 26 cases, respectively. Less common fusion transcript included 305 exon 17 of KIAA1549 and exon 10 of BRAF (17;10), which 306 was identified in one case (3.8%) (Table 3). In addition, a 307 gene fusion between exon 7 of EWSR1 and exon 5 of ATF1 308 (EWSR1-ATF1) was detected in one PMA sample in the 309 LGG subgroup. 310

In HGGs, c.83A > T (H3.3 K27M) and c.103G > A311 (H3.3 G34R) variants of H3F3A gene were identified in 312 16 cases and one case, respectively. BRAF c.1799 T > A 313 variant (BRAF V600E) was observed in four cases, includ-314 ing one PXA. No alterations in IDH1 or IDH2 genes were 315 detected by the panel. Interestingly, co-occurring altera-316 tions were observed in HGG patients harboring variants in 317 H3F3A gene—58.8% (10/17) of cases had variants in TP53, 318 319 35.3% (6/17) of cases showed ATRX loss-of-function mutations, 23.5% (4/17) of cases had PDGFRA-amplification, 320 and 35.2% (6/17) of cases showed MET or MYC amplifica-321 322 tions. Moreover, three tumors had concomitantly variants in H3F3A, TP53, and ATRX genes (Fig. 3a). A gene fusion 323 between exon 3 of ZFTA and exon 2 of RELA (ZFTA-RELA) 324 was detected in one HGG case that was reclassified as ST-325 EPN ZFTA-fusion positive. 326

Of all four cGBM patients analyzed, two cases exhibited tumors with genetic variants. One patient showed an increase of 11.2 copies of *ALK* and a gene fusion between exon 5 of *PPP1CB* and exon 20 of *ALK* (*PPP1CB-ALK*), and one patient had a *NTRK* gene fusion, which consisted in exon 7 of *TPM3* and exon 10 of *NTRK1 (TPM3-NTRK1)* 332 (Table 4). Both tumors were classified as infant-type hemispheric glioma. 334

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Subgroup of HGG in children and adolescents with HGG subgroup with histone H3.3 mutations

H3F3A c.83A > T variant was responsible for the major-337 ity of all genetic alterations detected in HGGs. Of all 16 338 H3.3 K27-altered gliomas analyzed in the present study, 339 14 affected midline regions of the CNS, which included 340 seven (43.8%) thalamic tumors, five (31.2%) spinal, and 341 two (12.5%) from the cerebellum/brainstem, whereas two 342 (12.5%) cases samples affected the cerebral hemisphere of 343 the supratentorial region. The only H3.3 G34 mutant tumor 344 identified was hemispheric (Fig. 3 b). 345

HGG patients with H3.3 K27M mutation had a median 346 OS of 9.1 months (95% CI 4.0–14.3), and OS curves analysis showed a statistical difference between H3.3 K27altered (n = 16) and H3.3 K27-wildtype (n = 13) subgroups 349 ($\chi^2 = 11.99$, p = 0.0005). Patients with H3.3 K27M mutation 350 had a worse 5-year OS (rate: 6.3%) in comparison to the 351 wildtype subgroup (rate: 61.5%) (Fig. 3c). 352

High frequency of DNA copy number variations in HGGs

Of all 29 CNVs detected by the panel, 28 (96.5%) were iden-355 tified in HGGs. Increase in the copy number of 12 genes was 356 observed, with MET (5/28 = 17.8%), MYC (5/28 = 17.8%)357 and *PDGFRA* (4/28 = 14.3%) genes being responsible for 358 the majority of cases. The median DNA copy number was 359 6.9 copies (range: 1.2–270 copies; standard deviation: 50.1) 360 and the distribution of all CNVs detected in HGG samples is 361 summarized in Table S3 (Supplementary Material). 362

Survival comparisons between tumor subgroups 363

LGG and HGG patients included in the study were observed 364 for a median of 51.2 months and 19 months, respectively, 365 from date of diagnosis to last contact or death. For LGG 366 patients, the median OS was 187.2 months (95% CI 367

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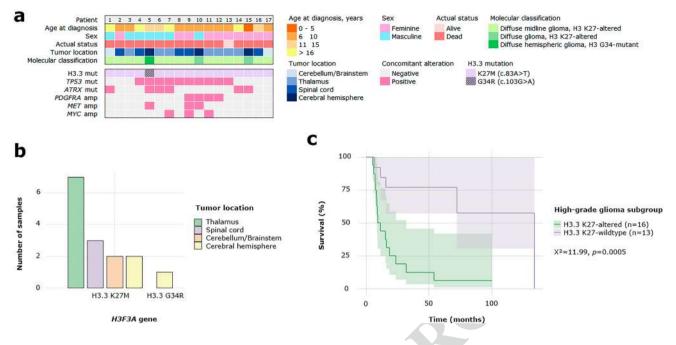


Fig.3 Subgroup of HGG in children and adolescents with histone H3.3 alterations **a** Clinical and molecular profile of HGG patients with H3.3 K27M or G34R mutation (H3.3 K27M or H3.3 G34R) and concomitant variants involving *TP53*, *ATRX*, *PDGFRA*, *MET*, and *MYC* genes. **b** Number of samples with H3.3 K27M or G34R

182.5–191.8) and the 5-year and 10-year OS rates were
90.7% and 87.2%, respectively. For HGG patients, the
median OS was 23.6 months (95% CI 10.3–36.8) and
the 5-year and 10-year OS rates were 24.6% and 12.3%,
respectively.

OS curves showed a statistical difference between tumor 373 subgroups ($\chi^2 = 34.59$, p < 0.0001) (Fig. 4a), as HGG 374 patients revealed a worse 10-year OS when compared to 375 LGG patients. Also, infant patients with HGG (diagno-376 sis < 5 years old; n = 20) showed a better 10-year OS 377 (rate: 45.7%) when compared to older patients with HGG 378 (diagnosis > 5 years old; n = 35) (rate: 14.9%; $\chi^2 = 4.57$, 379 p = 0.0324) (Fig. 4b). 380

381 Discussion

Recent advancements in the molecular understanding 382 of pediatric gliomas revealed that each tumor subgroup 383 can harbor specific genetic alterations. However, the devel-384 opment of new targeted treatments for children and adoles-385 cents with glioma remains a major challenge (Terashima and 386 Ogiwara 2021; Blattner-Johnson et al. 2021). Considering 387 that gliomas in children differ on a clinical and molecu-388 lar basis from gliomas that occur in adults, we aimed to 389 investigate the presence of somatic genetic variants, with 390

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mutation, according to tumor location. **c** Patients with H3.3 K27Maltered tumors showed a worse 5-year overall survival when compared to the wildtype subgroup ($\chi^2 = 11.99$, p = 0.0005). *mut* mutation, *amp* amplification

a potential prognostic and therapeutic impact in LGGs and 391 HGGs of childhood and adolescence, for a comprehensive 392 tumor profiling. 393

Mutations in BRAF gene represent the most frequently 394 known and well-established genetic alterations in pediatric 395 LGGs. KIAA1549-BRAF fusion is detected in 50-85% of 396 PA, leading to deregulation of the MAPK signaling pathway 397 (Jones et al. 2008; Kaul et al. 2012). Currently, there are nine 398 known KIAA1549-BRAF fusion junctions, with the majority 399 involving fusions between KIAA1549 exon 16 and BRAF 400 exon 9 (16;9) (68%), KIAA1549 exon 16 and BRAF exon 401 11 (16;11) (10%), and KIAA1549 exon 15 and BRAF exon 9 402 (15;9) (9%) (Srinivasa et al. 2020). 403

In the present study, KIAA1549-BRAF 15;9 was the most 404 frequent fusion transcript detected in LGGs, accounting for 405 42.3% of cases, a higher rate than the described in the lit-406 erature for this fusion variant. The second fusion transcriptt 407 most detected, KIAA1549-BRAF 14;9 (30.8%), has not been 408 reported in PA yet. Unexpectedly, 16;9 fusion transcript, 409 commonly known as the most recurrent, was not identified 410 in our tumor samples. Our clinical and molecular analysis 411 revealed that KIAA1549-BRAF fusion was the most signifi-412 cant favorable prognostic factor in LGGs, since patients with 413 this fusion had a 5-year OS rate of 100% and 95.7% of these 414 patients showed no other additional alterations detected by 415 the panel. It has been suggested that KIAA1549-BRAF may 416

)							
Patient	Gender	Patient Gender Age at diagnosis	Tumor location	Tumor location CNS region Treatment	Treatment	Status	Relapse	Status Relapse Overall survival Variant class Gene	Variant class	Gene
1	Female 3 days	3 days	Hemispheric	Parietal	None	Dead No	No	2.1 days	CNV CNV	ALK
									Gene fusion	PPPICB-ALK
2	Female	Female 2.5 months	Hemispheric	Occipital	Chemotherapy and radiotherapy	Alive	No	7.1 years	Gene fusion	TPM3-NTRKI
ю	Female	Prenatal (31 weeks of gestation)	Hemispheric	Supratentorial	Chemotherapy	Alive	No	4.8 years	Negative	N/A
4	Female	Female Prenatal (unavailable data)	Hemispheric	Temporal	Chemotherapy	Alive	No	2.6 years	Negative	N/A
CNS cent	tral nervous	CNS central nervous system, SNV single-nucleotide variant, CNV copy number variation, N/A not applicable	int, CNV copy numbe	er variation, N/A no	ot applicable					

lead to a less aggressive phenotype due to the process of 417 oncogene-induced cell senescence. However, Federal Drug 418 Administration (FDA)-approved therapies specific to this 419 fusion are still lacking and current treatment options for 420 LGGs involve targeting other alterations that dysregulate 421 the MAPK pathway (Srinivasa et al. 2020). Consequently, 422 identification of KIAA1549-BRAF fusions is essential in the 423 context of clinical trials and treatment management for these 424 patients. 425

In addition to KIAA1549-BRAF, EWSR1-ATF1 fusion 426 was also identified in one LGG case. Gene fusions involv-427 ing EWSR1 gene and members of the CREB family, such as 428 ATF1 gene, have been reported in a diverse group of tumors. 429 Kao et al. (2017) investigated a cohort of children and young 430 adults, aged 12-23 years old, with myxoid mesenchymal 431 tumors positive for fusions between EWSR1 and members 432 of the CREB family, including EWSR1-ATF1 fusion. These 433 data suggest a new brain tumor type entitled intracranial 434 myxoid mesenchymal tumors with EWSR1 and CREB family 435 gene fusions (EWSR1-CREB), and approximately 15 cases 436 have been reported in the literature so far (Kao et al. 2017; 437 De Los et al. 2021; Ballester et al. 2020; Liu et al. 2020). 438 In our study, EWSR1-ATF1 gene fusion was detected in a 439 PMA sample that affected the optic region from a patient 440 diagnosed with 12 years old. However, this tumor entity has 441 not yet been recognized by the 2021 WHO Classification of 442 Tumors of the CNS. 443

Recently, it has been proposed six epigenetically differ-444 ent subgroups of childhood HGGs that display alterations 445 in H3F3A, HIST1H3B, IDH1/2, and BRAF genes (Pollack 446 et al. 2019). H3.3 K27M mutation occurs in 70-80% of 447 childhood HGGs, while H3.1 K27M variant is less frequent 448 (Sturm et al. 2012; Venneti et al. 2013). IDH subgroup rep-449 resents nearly 5% of all pediatric HGGs and tumors with 450 BRAF V600E alteration are histologically similar to PXA, 451 accounting for 5-10% of cases. Studies have reported that 452 H3.3 K27M subgroup has a poor long-term survival, while 453 IDH and BRAF subgroups show a comparatively favorable 454 clinical outcome (Pollack et al. 2019). In our HGG samples, 455 alterations in H3F3A gene were the most common events 456 observed, BRAF V600E variant was identified in one PXA 457 sample, and no alterations in IDH1/2 were detected by the 458 panel. Our findings show the presence of recurring molecu-459 lar alterations, highlighting the genetic and prognostic diver-460 sity among these tumors, which consequently may further 461 refine the classification of HGGs in children and adolescents 462 (Pollack et al. 2019). 463

Genomic profiling analysis of pediatric and adolescent 464 HGGs has shown that K27M and G34R alterations are 465 mutually exclusive and intrinsically associated with the 466 anatomic tumor location, with H3.3 K27M subgroup dis-467 playing predilection for the midline brain location, espe-468 cially for the thalamic region, while H3.3 G34R subgroup 469

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 Table 4
 Clinical and molecular characteristics of four patients diagnosed with cGBM

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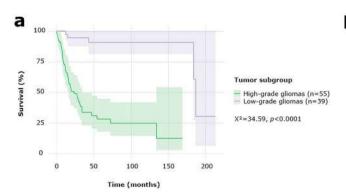


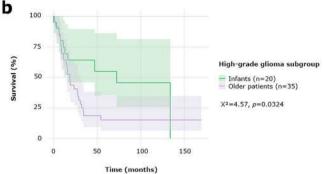
Fig. 4 Overall survival curves comparisons. **a** HGG patients showed a worse 10-year overall survival when compared to LGG patients (χ^2 = 34.59, *p* < 0.0001). **b** HGG patients diagnosed under 5 years old

predominantly affects the cerebral hemisphere (Oliveira 470 et al. 2021; Schwartzentruber et al. 2012). Similarly, among 471 the HGG samples with H3.3 K27M mutation analyzed in 472 our study, 87.5% were midline and the only tumor harbor-473 ing H3.3 G34R variant was hemispheric. Whereas G34R 474 475 mutation is highly expressed in the neocortex during the early stages of embryonic development, K27M mutation is 476 associated with the intermediate and late stages of devel-477 opment, mostly of the thalamic region. These evidences 478 indicate that HGGs harboring H3.3 K27M or H3.3 G34R 479 alterations have specific patterns of gene expression during 480 brain development that correlate with the subsequent tumor 481 location. Consequently, K27M and G34R mutations define 482 two clinically and biologically distinct subgroups of child-483 484 hood HGGs (Sturm et al. 2012; Ebrahimi et al. 2019).

In our analysis, H3F3A variants simultaneously over-485 lapped with other specific alterations within the same tumor, 486 including TP53 (58.8%) and ATRX (35.3%) loss-of-func-487 tion mutations, and amplifications of MET (17.6%), MYC 488 (17.6%), and PDGFRA (23.5%) genes. Approximately 60% 489 of all H3.3 K27M mutations are associated with alterations 490 in TP53 and 30% in ATRX (Deng et al. 2018; Huang et al. 491 2017; Yuen and Knoepfler 2013). Additionally, it has been 492 shown that tumors harboring H3.3 K27M mutation con-493 tain higher numbers of CNVs, with MYC and PDGFRA 494 gene amplifications being the most commonly observed 495 (Schwartzentruber et al. 2012; Yuen and Knoepfler et al. 496 2013; Korshunov et al. 2015). A clinically significant find-497 498 ing in our study was the fact that HGG patients with H3.3 K27-altered tumors had a worse 5-year OS in comparison 499 to the wildtype subgroup supporting the evidence that H3.3 500 K27M mutation is an adverse prognostic factor in pediatric 501 502 HGGs and these patients may benefit from therapies that target chromatin remodeling agents or post-transcriptional 503 histone modifications. 504

To date, approximately 120 cGBM cases have been reported and due to its infrequent occurrence, few studies

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(infant) had a better 10-year overall survival when compared to older patients with HGG (χ^2 =4.57, p=0.0324)

have focused on the molecular and genetic features of this 507 disease (Macy et al. 2012; Espiritu et al. 2020). In the pre-508 sent study, an increase in the number of copies of ALK gene 509 and a PPP1CB-ALK fusion were identified in one cGBM 510 patient. Interestingly, Zhong et al. (2021) recently reported 511 a case of a female patient with cGBM that also died due to 512 disease progression two days after birth and showed genetic 513 alterations similar to those observed in our patient. They 514 detected an ALK amplification and a PPP1CB-ALK fusion, 515 which resulted of a local chromothripsis and consisted 516 of exon 1-5 of PPP1CB and exon 20-29 of ALK (Zhong 517 et al. 2021). Although several studies have shown that ALK 518 fusion proteins are present in a diverse group of neoplasms, 519 PPP1CB-ALK fusions have been reported in few cases in 520 the literature, mainly with respect to pediatric patients with 521 HGG. (Zhong et al. 2021; Aghajan et al. 2016; Guerreiro 522 Stucklin et al. 2019; Ng et al. 2019). Currently, efforts are 523 underway to develop small molecule tyrosine kinase inhibi-524 tors (TKIs) that penetrate the blood-brain barrier, since ther-525 apies targeting alterations in ALK gene have demonstrated 526 to improve overall outcomes in patients whose CNS tumors 527 arise from these genetic alterations (Holla et al. 2017; Wong 528 2017). PPP1CB-ALK fusions are one of the most com-529 mon receptor tyrosine kinase fusions in infantile gliomas 530 and recent findings have demonstrated that tumor cells and 531 xenograph tumors positive for these fusions are sensitive to 532 ALK-inhibitors (Zhong et al. 2021; Guerreiro Stucklin et al. 533 2019; Amend et al. 2020). In this context, PPP1CB-ALK 534 fusion and ALK amplification may represent a set of poten-535 tial biomarkers for cGBM. 536

TPM3-NTRK1 fusion, which has already been shown to act as an oncogenic driver in HGG (Hsiao et al. 2019), was identified in one cGBM case in our study. Gliomas can harbor *NTRK* fusions with frequencies of 5–25% and *NTRK* fusions have been reported in up to 40% of nonbrainstem HGGs (NBS-HGGs) in patients under 3 year sold (Amatu et al. 2016; Gambella et al. 2020; Clarke et al. 539

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2020; Wu et al. 2014). Our cGBM patient, whose tumor was 544 located hemispheric and is TPM3-NTRK1 fusion-positive. 545 was diagnosed with 2.5 months and showed no other signifi-546 cant additional alterations. These results seem to corroborate 547 previous findings that have detected TPM3-NTRK1 fusions 548 in a particular subset of infant patients with NBS-HGGs 540 (Clarke et al. 2020; Wu et al. 2014). NTRK fusion is a phar-550 macologically targetable genetic alteration and identification 551 of fusion genes responsible for altering the activity of NTRK 552 kinase domains has allowed the emergence of specific TKIs 553 for these proteins (Lange and Lo 2018). Thus, multiple TKIs 554 with activity against the tyrosine kinase family are avail-555 able nowadays or being investigated in clinical trials. The 556 first-generation TKIs, larotrectnib (Vitrakvi®) and entrec-557 tinib (Rozlytrek[®]), represent the two compounds that are 558 furthest in clinical development so far, and were the first 559 FDA-approved TKIs for adult and pediatric solid tumors 560 with NTRK fusions (Rolfo 2020; Jiang et al. 2021). 561

The WHO Classification of Tumors of the CNS, pub-562 lished in 2021, has recognized a novel subgroup of HGG 563 that occurs in newborns and infants with fusions in ALK, 564 ROS1, NTRK, or MET genes, denoted as infant-type hemi-565 spheric glioma (Louis et al. 2021). None of our cGBM 566 cases showed variants in H3F3A, TP53, and ATRX genes, 567 alterations that are frequently seen in older children and ado-568 lescents with HGG. Instead, we identified variants in ALK 569 and NTRK genes, suggesting that cGBM may comprises 570 a specific entity of infant-type hemispheric glioma. Addi-571 tionally, our survival analysis showed that infant patients 572 with HGG have a more favorable prognosis with improved 573 survival when compared to HGG in older children, which 574 is in concordance with previous reports (Clarke et al. 2020; 575 Gilani et al. 2020). These data indicate that histopathological 576 grading may not truthfully reflect the clinical and biologi-577 cal aspects of these tumors, which highlights the need for 578 an individualized diagnostic and therapeutic care. In such a 579 young group of patients, chemotherapy and radiotherapy are 580 limited approaches due to their significant long-term toxicity 581 and in most cases, surgery and gross total resection represent 582 the main treatment options. Hence, our findings emphasize 583 the demand for age-specific diagnosis and treatment strate-584 gies, as identification of molecular alterations may provide 585 potential therapeutic targets for these patients (Guerreiro 586 Stucklin et al. 2019; Espiritu et al. 2020). 587

In conclusion, molecular profiling by the OCCRA 588 panel comprehensively addressed the most relevant 589 alterations in gliomas of childhood and adolescence. 590 KIAA1549-BRAF fusion was the most significant favorable 591 prognostic factor in LGGs and H3F3A c.83A > T variant, 592 commonly detected in HGGs, was associated with a worse 593 OS in these patients. Alterations in ALK and NTRK genes 594 were identified in two single cases of cGBM, which may 595 provide potential targets for therapy with FDA-approved 596

drugs. Therefore, we were able to detect recurrent somatic	597
mutations in LGGs and HGGs in children and adolescents,	598
as these tumors have specific patterns of molecular altera-	599
tions, outcomes, and effectiveness to therapies.	600
tions, outcomes, and encenveness to therapies.	600
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FTG, IDO; Formal analysis and investigation: DCCC, SRCT, FTG,	613
IDO; Writing-original draft preparation: DCCC; Writing-review	614
and editing: SRCT, FTG, NSS, AMC; Funding acquisition: SRCT;	615
Medical support: NSS, AMC, MTSA, PD, SC; Supervision: SRCT.	616

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Data availability The data that support the findings of this study are 623 available from the corresponding author upon reasonable request. 624

Code availability Not applicable. 625

Declarations

Conflict of interest The authors declare that they have no conflict of 627 interest. 628

Ethical approval All procedures performed in studies involving human 629 participants were in accordance with the ethical standards of the Insti-630 tutional Research Committee (Committee for Ethics in Research-Fed-631 eral University of Sao Paulo no. 0915/2019). This article does not 632 contain any studies with animals performed by any of the authors. 633

Informed consent Samples from each primary tumor were collected 634 after informed consent was signed by patients/guardians accord-635 ing to the Institutional Research Committee (Committee for Ethics 636 in Research-Federal University of Sao Paulo no. 0915/2019). The 637 biological material is acquired via a Biobank of the Pediatric Oncol-638 ogy Institute-GRAACC/UNIFESP (National Commission of Ethics in 639 Research—CONEP B-053). 640

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